

# TRADITIONAL IRA/ROTH IRA TRANSFER OF ASSETS FORM

Please complete this form if you are transferring assets from an existing IRA with another custodian to a Westcore IRA. If you have questions, please call us at 1-800-392-CORE(2673).

1

## ACCOUNT INFORMATION

Name (First, Middle Initial, Last)

Social Security Number

Account Mailing Address

City

State

Zip Code

Email Address (optional)

Telephone Number

2

## TYPE OF RECEIVING ACCOUNT

This is a transfer to a Westcore Funds:

Traditional IRA

Roth IRA

3

## INSTRUCTIONS TO RESIGNING CUSTODIAN OR TRUSTEE

Complete the following instructions to your current custodian or trustee for the IRA assets you are transferring to Westcore. Please check with your current custodian or trustee for any special instructions or requirements for IRA transfers and confirm their mailing address and telephone number.

Name of Resigning Custodian or Trustee

Mailing Address

City

State

Zip Code

Telephone Number

Account Name

Account Number with Current Custodian or Trustee

**Please transfer assets from the above account to Westcore Funds.** (Select only one box.)

Transfer the total amount in my Account.

Transfer \$ \_\_\_\_\_ or \_\_\_\_\_ % and retain the balance.

Transfer upon maturity date.

Note: if you are transferring a CD upon maturity, you must send us this form at least two, but not more than four weeks prior to the maturity date.

In accordance with my recharacterization election, please transfer \$ \_\_\_\_\_ (plus net income allocable to the contribution to be recharacterized) to Westcore Funds.

**Make check payable to Westcore Funds FBO:**

(Print your name here)

To be completed by Westcore:

**Please type the information below on the face of the check:**

Fund #(s):

Account #:

4

**INVESTMENT INSTRUCTIONS**

Please tell us what Westcore account you would like to transfer into. (select one)

Invest the transferred amount in accordance with the investment instructions on the attached Westcore Funds Traditional IRA and Roth IRA Application.

Deposit the transferred amount into my existing Westcore Traditional IRA account number: \_\_\_\_\_.

Deposit the transferred amount into my existing Westcore Roth IRA account number: \_\_\_\_\_.

5

**SIGNATURE**

I have established an IRA with Westcore Funds to which assets will be transferred. I understand that this transfer of assets is to be executed as a fiduciary-to-fiduciary transfer that will not put me in actual or constructive receipt of all or any part of the transferred assets. I understand that the requirements for valid IRA transfers, rollovers, conversions and recharacterizations are complex. I assume full responsibility for complying with all requirements and for the tax results of any such transaction. I also understand that inaccurate information may result in adverse tax consequences or IRS penalties. (Please consult a tax professional if you have questions.)

\_\_\_\_\_  
Your Signature

\_\_\_\_\_  
Date

**Signature Guarantee (only if required by current Custodian or Trustee)**

\_\_\_\_\_  
Name of Bank or Dealer Firm

\_\_\_\_\_  
Signature of Officer

\_\_\_\_\_  
Title

Place signature guarantee stamp here:

6

**ACCEPTANCE BY NEW CUSTODIAN**

**(Completed by BOKF, NA dba Colorado State Bank and Trust as Custodian.)**

BOKF, NA dba Colorado State Bank and Trust agrees to accept transfer of the above amount for deposit to the Depositor's Westcore Funds Individual Retirement Custodial Account, and requests the liquidation and transfer of assets as indicated above.

\_\_\_\_\_  
By

\_\_\_\_\_  
Date

**Mail to:** Westcore Funds  
P.O. Box 44323  
Denver, Colorado 80201-4323