

AUTHORIZATION TO RECHARACTERIZE A WESTCORE IRA CONTRIBUTION

This Form Is For Westcore Shareholder Use Only. Use this form to recharacterize a contribution made to your existing Westcore IRA. Please note that a recharacterization will not change your investment selections. If you wish to rebalance your IRA or invest in different Westcore Funds please contact us at the phone number listed below. **If you are establishing a new Westcore IRA to accept the contribution being recharacterized, a Westcore IRA Application must accompany this form.**

1

ACCOUNT INFORMATION

Owner's Name (First, Middle Initial, Last)	Social Security Number	Date of Birth
Account Mailing Address	City	State Zip Code
Email Address (optional)	Telephone Number	

2

RECHARACTERIZATION ELECTION

I elect to recharacterize a contribution made to my existing Westcore IRA (FIRST IRA) as a contribution made to my Westcore IRA indicated in Section 3 (SECOND IRA). For federal tax purposes, I elect to treat the contribution as having been made to my SECOND IRA (instead of to my FIRST IRA).

My FIRST IRA is a: Traditional IRA Roth IRA

Account Number of my FIRST IRA: _____ Date of the contribution to my FIRST IRA: _____
MM - DD - YYYY

Tax year for which it was made: _____

Amount of the contribution I wish to recharacterize:

Fund Name	Amount or %	Fund Name	Amount or %
Westcore Small-Cap Growth Fund	\$ _____ %	Westcore Micro-Cap Opportunity Fund	\$ _____ %
Westcore Global Large-Cap Dividend Fund	\$ _____ %	Westcore International Small-Cap Fund	\$ _____ %
Westcore Large-Cap Dividend Fund	\$ _____ %	Westcore Flexible Income Fund	\$ _____ %
Westcore Mid-Cap Value Dividend Fund	\$ _____ %	Westcore Plus Bond Fund	\$ _____ %
Westcore Mid-Cap Value Dividend Fund II	\$ _____ %	Total Amount:	\$ _____ %
Westcore Smid-Cap Value Dividend Fund	\$ _____ %		
Westcore Small-Cap Value Dividend Fund	\$ _____ %		

3

INSTRUCTIONS TO THE CUSTODIAN

Please transfer the amount indicated in Section 2 (plus net income allocable to the contribution) from my FIRST IRA to my SECOND IRA.

My SECOND IRA is a: Traditional IRA Roth IRA

Check one box below:

Please deposit the recharacterization contribution to my SECOND IRA already established with Westcore.

Account Number: _____

Please open a new Westcore IRA to accept my recharacterization contribution. **(A Westcore IRA Application must accompany this form or an account cannot be established.)**

4

AUTOMATIC INVESTMENT PLAN

If you currently participate in the Automatic Investment Plan with the Westcore IRA that you are recharacterizing from, this option will be canceled unless indicated below.

Please continue the Automatic Investment Plan on the IRA that I am recharacterizing from.

5

SIGNATURE

I have read and understand the recharacterization rules located in the Westcore IRA Information Booklet. I understand that the requirements for a valid IRA recharacterization are complex. I assume full responsibility for complying with all requirements and for the tax results of any such transaction. I also understand that inaccurate information may result in adverse tax consequences or IRS penalties. (Please consult a tax professional if you have questions.)

Signature of Owner

Date

Mail to: Westcore Funds
P.O. Box 44323
Denver, Colorado 80201-4323